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CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (XXXX) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or ( ) being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 703 872 9306) (\_\_\_\_\_ pages). This facsimile is being sent from (908) 429-3650.

Maria T. Sanchez  
(Print Name)

Date: August 4, 2004

Maria T. Sanchez  
(Signature)

DOCKET NO. 1998JP313CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Takamasa HARADA et al.

Art Unit: 1713

Serial No. 10/608,392, filed June 27, 2003

Examiner: LIPMAN, Bernard

For: COATING COMPOSITION

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION (37 CFR 1.97 (b))**

August 4, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations. (If box not checked, see item 6 below).
  - 1c. ☒ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
    - (i) English language translation of Notice of First Office Action from the State Intellectual Property Office of P.R. China
  - 1d. ☒ English language translation (complete or relevant portion(s)) attached to each non-English language publication.
  - 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(d), hereto) for providing a concise explanation of each non-English publication.
  - 1f. ☐ List of Copending Applications (ATTACHMENT 1(e), hereto).
  - 1g. ☐ Supplementary EPO Search Report
2. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance.
  - 3a. ☐ The §1.97(e) Statement in Item 5 below is applicable. Accordingly, no fee is required.
  - 3b. ☐ The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.

4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(d) after the Final Office Action or the Notice of Allowance, but before payment of the Issue Fee.

☐ The §1.97(e) Statement (Item 5 below) is applicable.

A Petition to the Commissioner is hereby made under 37 CFR §1.97(d) to request consideration of this Information Disclosure Statement. The \$130.00 fee set forth in 37 CFR §1.17(i) is to be charged to Deposit Account No. 03-2060.

5. ☐ Statement Under §1.97(e) (*applicable if Item 3a or Item 4 is checked*)

5a. ☐ In accordance with 37 CFR §1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

5b. ☐ In accordance with 37 CFR §1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR §1.153(b). (*Check appropriate Items 6a and/or 6b.*)

6a. ☐ Copies of the publications listed on Form PTO-1449 from prior Application Serial No. 09/763,927, filed on February 26, 2001, of which this application claims priority under 35 USC §120 have been omitted pursuant to 37 CFR §1.98(d) except those listed in 6b. below.

6b. ☐ Copies of the following publications listed on Form PTO-1449 which were not previously cited in prior Application Serial No. 09/763,927, filed on February 26, 2001, of which this application claims priority under 35 USC §120, are provided herewith:

7. ☐ This is a continuation/divisional application under 37 CFR §1.53(d).  
(Check either Item 7a or 7b.)

7a. ☐ The Issue Fee has not been paid.

7b. ☐ A Petition to Withdraw from Issue under 37 CFR §1.313(b)(5) has been granted. A continuation application under 37 CFR §1.53(d) after payment of the Issue Fee is proper in accordance with 37 CFR §1.53(d)(1)(ii).

8. ☐ This is a Supplemental Information Disclosure Statement. (Check either Item 8a or 8b.)

8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR §1.97(f) supplements the Information Disclosure Statement filed on \_\_\_\_\_. A bona fide attempt was made to comply with 37 CFR §1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on \_\_\_\_\_.

8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §1.97(i), mailed \_\_\_\_\_. (MPEP 609, Form ¶ 6.51, July 1997.)

9. ☒ In accordance with 37 CFR §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

(Check appropriate Items 9a, 9b, 9c and/or 9d)

9a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office. (See U.S. Patent and Trademark Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68)).

9b. ☐ set forth in the application.

Serial No. 10/608,392  
Filed June 27, 2003

- 9c. ☒ satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 9d. ☒ enclosed as Attachment 1(d), hereto.
- 9e. ☒ attached in abstracts in the English language.
10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§1.97(g) and (h).
11. ☒ The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR §1.17 for this Information Disclosure Statement and/or Petition to Deposit Account No. 03-2060.

Respectfully submitted,



Attorney for Applicant(s)  
Alan P. Kass  
(Reg. No. 32142)  
70 Meister Avenue  
Somerville, New Jersey 08876  
Telephone: (908) 595-3890  
Telefax: (908) 429-3650

Customer No. 26,289

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Takamasa HARADA, Katsuyuki SAKAMOTO, Takanori KUDO

Docket No.

1998JP313CIP

Application No.

10/608,392

Filing Date

June 27, 2003

Examiner

Bernard LIPMAN

Customer No.

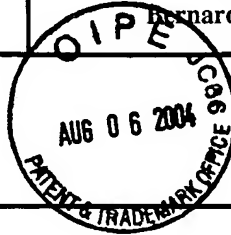
26,289

Group Art Unit

8391

Invention:

COATING COMPOSITION

I hereby certify that this English language translation Abstract of JP 10-077448

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2004

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in cursive script that reads "Maria T. Sanchez".

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b>			Docket No. <b>1998JP313CIP</b>	
Applicant(s): Takamasa HARADA, Katsuyuki SAKAMOTO, Takanori KUDO				
Application No. <b>10/608,392</b>	Filing Date <b>June 27, 2003</b>	Examiner <b>Bernard LIPMAN</b>	Customer No. <b>26,289</b>	Group Art Unit <b>8391</b>
Invention: <b>COATING COMPOSITION</b>				
<p>I hereby certify that this <u>English language translation Abstract of JP 10-219108</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>August 4, 2004</u> (Date)</p> <p><b>MARIA T. SANCHEZ</b> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Maria T. Sanchez</u> (Signature of Person Mailing Correspondence)</p>				
<p>Note: Each paper must have its own certificate of mailing.</p>				
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10/608,392

Filing Date

June 27, 2003

Examiner

Bernard LIPMAN

Customer No.

26,289

Group Art Unit

8391

Invention:

COATING COMPOSITION

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2004

(Date)

MARIA T. SANCHEZ

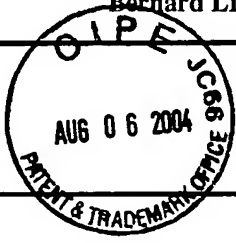

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Application No. 10/608,392	Filing Date June 27, 2003	Examiner Bernard LIPMAN	Customer No. 26,289	Group Art Unit 8391
Invention: COATING COMPOSITION				
<div style="text-align: center;"></div>				
<p>I hereby certify that this <u>English language translation Abstract (from Japanese Patent Office) of JP10-219108</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>August 4, 2004</u> (Date)</p> <p style="text-align: center;"><u>MARIA T. SANCHEZ</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;"> (Signature of Person Mailing Correspondence)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> <div style="border: 1px solid black; height: 250px; width: 100%;"></div>				

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Docket No.

1998JP313CIP

Application No.

10/608,392

Filing Date

June 27, 2003

Examiner

Bernard LIPMAN

Customer No.

26,289

Group Art Unit

8391

Invention:

COATING COMPOSITION



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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2004  
(Date)

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(Typed or Printed Name of Person Mailing Correspondence)

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Application No. <b>10/608,392</b>	Filing Date <b>June 27, 2003</b>	Examiner <b>Bernard LIPMAN</b>	Customer No. <b>26,289</b>	Group Art Unit <b>8391</b>
Invention: <b>COATING COMPOSITION</b>				
				
<p>I hereby certify that this <u><b>JP 10-77448</b></u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u><b>August 4, 2004</b></u> <i>(Date)</i></p> <p style="text-align: right;"><u><b>MARIA T. SANCHEZ</b></u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p style="text-align: right;"><u></u> <i>(Signature of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><b>Note: Each paper must have its own certificate of mailing.</b></p> <div style="border: 1px solid black; height: 250px; width: 100%;"></div>				

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Examiner

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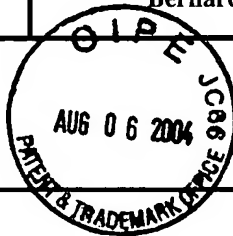
26,289

Group Art Unit

8391

Invention:

COATING COMPOSITION

I hereby certify that this Notice of the First Office Action (National Phase for PCT Application)

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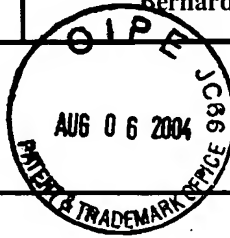
26,289

Group Art Unit

8391

Invention:

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(Identify type of correspondence)

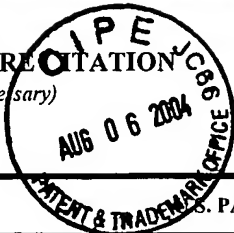
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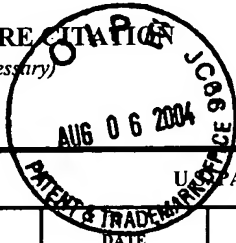
(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>1998JP313CIP</b>		Application Number <b>10/608,392</b>	
				Applicant(s) <b>Takamasa HARADA et al.</b>			
				Filing Date <b>June 27, 2003</b>		Group Art Unit <b>1713</b>	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	JP 10-77448	03/28/1998	JAPAN				
	JP 10-219108	08/18/1998	JAPAN				
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		Notice of the First Office Action (National Phase for PCT Application).					
		English language translation Abstract (from Japanese Patent Office) of JP 10-077448.					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



Docket Number (Optional)

1998JP313CIP

Application Number

10/608,392

Applicant(s)

Takamasa HARADA et al.

Filing Date

June 27, 2003

Group Art Unit

1713

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

		English language translation Abstract of JP 10-077448.
		English language translation Abstract (from Japanese Patent Office) of JP 10-219108.

EXAMINER

DATE CONSIDERED

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	<b>Docket Number (Optional)</b> <b>1998JP313CIP</b>	<b>Application Number</b> <b>10/608,392</b>
	<b>Applicant(s)</b> <b>Takamasa HARADA et al.</b>	
	<b>Filing Date</b> <b>June 27, 2003</b>	<b>Group Art Unit</b> <b>1713</b>

**U.S. PATENT DOCUMENTS**

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**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		English language translation Abstract of JP 10-219108.

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